

I hereby certify that this Transmittal Letter and the documents referred to as enclosed therein are being deposited with the United States Postal Service as First Class Mail, postage prepaid, on February 27, 1997, in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Jay B. Beatty-Intellectual Property Admin.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christie, et al.  
Application No.: 08/754,354 09/421,718  
Title: "SYSTEM AND METHOD FOR INTERFACING A LOCAL  
COMMUNICATION DEVICE"  
Filed: November 22, 1996

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TRANSMITTAL

Attention: Office of Petitions  
Hon. Assistant Commissioner for Patents  
BOX MISSING PART  
Washington D.C. 20231

Dear Sirs:

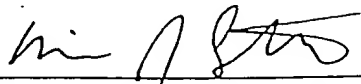
Enclosed is:

- ✓ -Notice to File Missing Parts of Application, Filing Date Granted
- ✓ -Petition Under 37 C.F.R. 1.47(a) and 1.42
- ✓ -Declaration Under 37 C.F.R. 1.47(a)
- ✓ -Declaration On Behalf Of Deceased Inventor
- Assignment and Agreement in an Application for Letters Patent of the United States of America (executed)
- ✓ -PTO Form 1595, Recordation Form Cover Sheet
- ✓ -Power of Attorney by Assignee

The Commissioner is hereby authorized to charge \$130.00 for the filing of the notice to file missing part, \$130.00 for the filing of the petition under 37 CFR 1.47(a), and \$40.00 for the recordation of the Assignment to Sprint Communications Company L.P., Deposit Account Number 21-0765. In addition the Commissioner is authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required during the entire pendency of the application to Sprint Communications Company L.P., Deposit Account Number 21-0765.

Respectfully submitted,

Date 2-27-97

By   
Michael J. Setter, Patent Attorney  
Reg. No. 37,936  
Tel: (913) 624-5194  
Fax: (913) 624-6388

SPRINT COMMUNICATIONS COMPANY L.P.  
8140 Ward Parkway  
Fifth Floor  
MS: MOKCMP0506  
Kansas City, Missouri 64114

DUFT, GRAZIANO & FOREST  
1790 - 30<sup>TH</sup> STREET, SUITE 140  
BOULDER, CO 80301  
(303) 449-9497  
FAX (303) 449-0814

FACSIMILE TRANSMITTAL SHEET  
PLEASE DELIVER ASAP

DATE:

12/17/99 9:42 AM

TO:

Patent and Trademark Office

FROM:

Eugene G. Kim

CC:

COMPANY:

FAX NUMBER:

703-305-3588

PHONE NUMBER:

703-308-4357

RE:

Application No. 09/421,718

TOTAL PAGES INCLUDING COVER:

eight

☐ URGENT

☒ FOR REVIEW

☐ PLEASE COMMENT

☐ PLEASE REPLY

NOTES/COMMENTS:

Attached is the Re-Submission of Fees and Response to "Notice to File Missing Parts of Application" with a copy of the Notice to File Missing Parts of Application and a copy of the New Application Transmittal. If you have any questions, please feel free to call our office. Thank you.

THIS FACSIMILE IS INTENDED ONLY FOR THE INDIVIDUAL TO WHOM IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL, OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE (COLLECT), AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Christie, Joseph Michael; Duree, Albert Daniel; Gardner, Michael Joseph; Nelson, Tracey Lee; and Wiley, William Lyle

Application No.: 09/421,718

Group No.: 2732

Filed: 10/20/1999

Examiner: unknown

For: SYSTEM AND METHOD FOR INTERFACING A LOCAL COMMUNICATION DEVICE :

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D. C. 20231

**RE-SUBMISSION OF FEES  
AND RESPONSE TO "NOTICE TO FILE MISSING  
PARTS OF APPLICATION"**

Applicant has previously submitted Response to "Notice to File Missing Parts of Application," but application number on Applicant's papers was incorrect. The application number on the "Notice" was correct. Please ignore this submission if unnecessary.

In connection with the "Notice to File Missing Parts of Application" dated November 4, 1999, applicant resubmits the new application transmittal to pay the insufficient fees which will be charged to Account No. 21-0765:

We believe Account No. 21-0765 had a balance that was below the required filing fees. We have deposited sufficient funds into Account No. 21-0765 to cover the filing fees and surcharge under CFR 1.16(e).

To acknowledge this payment from the above-referenced Account No., a corrected filing receipt is respectfully requested.

Please note that this request was mailed first class mail on December 15, 1999, with a clerical error. The application number was incorrect. This Response to "Notice to File Missing Parts of Application" has the correct application number on it.

Respectfully submitted,



Michael J. Setter, Reg. No. 37,936

Phone: (303) 449-9497

Fax: (303) 449-0814

ATTORNEY CONTACT:

CORRESPONDENCE ADDRESS:

Attn: Harley R. Ball  
Sprint Law Department  
8140 Ward Parkway  
Mailstop: MOKCMP0506  
Kansas City, Missouri 64114

Customer No. 021396

---

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Attention: Box Missing Parts; Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

12-17-99

**FACSIMILE**

- ☒ transmitted by facsimile to the Patent and Trademark Office.

  
Signature

Laura S. Mellblom

(type or print name of person certifying)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re application of: Christie, Joseph Michael; Duree, Albert Daniel; Gardner, Michael Joseph ; Nelson, Tracey Lee; and Wiley, William Lyle

Application No.: ~~09/413,734~~ 09/421,718

Group No.: 2732

Filed: 10/20/1999

Examiner: unknown

For: SYSTEM AND METHOD FOR INTERFACING A LOCAL COMMUNICATION DEVICE

Office of Initial Patent Examination's Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Office of Initial Patent Examination's Customer Service Center; Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date:

12-15-99

Signature

*Laura S. Mellblom*

Laura S. Mellblom

(type or print name of person certifying)

2. There is an error with respect to the following, which was omitted.

*Error in*

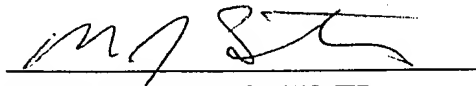
*Correct data*

1. Applicant(s)

1. Joseph Michael Christie

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,



SIGNATURE OF PRACTITIONER

ATTORNEY CONTACT:

Michael J. Setter, Reg. No. 37,936

Phone: (303) 449-9497

Fax: (303) 449-0814

CORRESPONDENCE ADDRESS:

Attn: Harley R. Ball

Sprint Law Department

8140 Ward Parkway

Mailstop: MOKCMP0506

Kansas City, Missouri 64114

Customer No. 021396

9201/514C3  
09/413, 718

09/413, 734  
9201/535C1

The stamp of the Patent Office hereon acknowledges receipt of:

Patent App. of: Christie, et al. Docket #: 1128C (9201.514C3)  
Serial No.: 421,718 Filed: 10/20/99  
09/413,734

☒ 1<sup>st</sup> Class ☐ Express Mail No.: \_\_\_\_\_

- ☐ Patent application, pages: \_\_\_\_\_  
☐ Drawings, sheets: \_\_\_\_\_  
☐ Declaration & POA, pages: \_\_\_\_\_  
☐ Assignment, pages: \_\_\_\_\_  
☐ Rec. Form Cover Sht. PTO-1619A \_\_\_\_\_  
☐ Amendment, pages: \_\_\_\_\_  
☐ Transmittal, pages: \_\_\_\_\_  
☐ Check No.: \_\_\_\_\_ for \$ \_\_\_\_\_

☒ Other: Request for Corrected  
Filing Receipt (2 pages)



Dated Mailed: 12-15-99 Atty(s): MJS/EGK/lsm

Date Docketed: 12/29/99  
Docketed by: \_\_\_\_\_  
Sec: lsm  
Atty: \_\_\_\_\_

RECEIVED

DEC 27 1999

DUFT, GRAZIANO &  
FOREST, P.C.

BEST AVAILABLE COPY

The stamp of the Patent Office hereon acknowledges receipt of:

Patent App. of: Christie, et al. Docket #: 1128C (9201.514C3)

Serial No.: ~~69/413,734~~ Filed: 10/20/99

☒ 1<sup>st</sup> Class ☐ Express Mail No.: \_\_\_\_\_

- ☐ Patent application, pages: \_\_\_\_\_  
☐ Drawings, sheets: \_\_\_\_\_  
☐ Declaration & POA, pages: \_\_\_\_\_  
☐ Assignment, pages: \_\_\_\_\_  
☐ Rec. Form Cover Sht. PTO-1619A  
☐ Amendment, pages: \_\_\_\_\_  
☐ Transmittal, pages: \_\_\_\_\_  
☐ Check No.: \_\_\_\_\_ for \$ \_\_\_\_\_

☒ Other: Request for Corrected  
Filing Receipt (2 pages)

Dated Mailed: 12-15-99 Atty(s): MJS/EGK/lsm

FILING RECEIPT

*MJS/EBK*

*9201/514C3*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/421,718	10/20/99	2732	\$0.00 1128C		10	42	2

HARLEY R. BALL  
SPRINT LAW DEPARTMENT  
8140 WARD PARKWAY  
MAILSTOP MOKCOMP0506  
KANSAS CITY MO 64114

RECEIVED  
NOV 9 1999

SPRINT  
LAW DEPT.

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) MICHAEL JOSEPH GARDNER, OVERLAND PARK, KS; WILLIAM LYLE WILEY, OLATHE, KS; ALBERT DANIEL DUREE, INDEPENDENCE, MO; TRACY LEE NELSON, SHAWNEE MISSION, KS.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CON OF 08/754,354 11/22/96

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/04/99  
TITLE  
SYSTEM AND METHOD FOR INTERFACING A LOCAL COMMUNICATION DEVICE

PRELIMINARY CLASS: 370

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DEC -2 1999

DUFF, GRAZIANO &  
FOREST, P.C.

Date Docketed: 12/3/99  
Docketed by: [Signature]  
Sec: 18m  
Atty: \_\_\_\_\_

DATA ENTRY BY: HARDY, EUGENIA TEAM: 03 DATE: 11/04/99

(See reverse for new important information)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): Joseph Michael Christie; Michael Joseph Gardner; William Lyle Wiley; Albert Daniel DuRee; Tracy Lee Nelson

For (title): SYSTEM AND METHOD FOR INTERFACING A LOCAL COMMUNICATION DEVICE

**1. Type of Application**

This transmittal is for a continuation application.

**2. Benefit of Prior U.S. Application (35 U.S.C. 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. application. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.

**3. Papers Enclosed**

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)

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**CERTIFICATION UNDER 37 C.F.R. 1.10\***

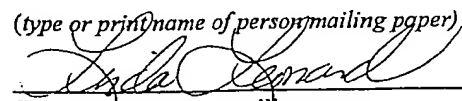
*(Express Mail label number is mandatory.)*

*(Express Mail certification is optional.)*

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 10/20/99, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL42781400US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Linda Leonard

*(type or print name of person mailing paper)*

  
Signature of person mailing paper

**Application**

54 Page(s) of Specification  
6 Page(s) of Claims  
10 Sheet(s) of Drawing(s)—Formal

**B. Other Papers Enclosed**

3 Page(s) of declaration and power of attorney  
1 Page(s) of abstract  
21 Page(s) of Petition Under 37 C.F.R. 1.47(a) and 1.42;  
Declaration Under 37 C.F.R. 1.47(a);  
Declaration on Behalf of Deceased Inventor;  
Exhibits A, B, C and D;  
Assignment and Agreement in an Application for Letters Patent  
Of the United States of American (executed);  
Recordation Form Cover Sheet;  
Power of Attorney by Assignee;  
Transmittal dated February 27, 1997;  
Status Inquiry dated October 13, 1999.

**4. Declaration or Oath**

Enclosed

Executed by:

- inventors.
- Petition required by 37 CFR 1.47 dated February 27, 1997..

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

An assignment of the invention to Sprint Communications Company, L.P. has been recorded.

8. Fee Calculation (37 C.F.R. 1.16)

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$760.00
Total Claims (37 CFR 1.16(c))	42	- 20 =	22 x	\$18.00	\$396.00
Independent Claims (37 CFR 1.16(b))	3	- 3 =	0 x	\$78.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$260.00	\$0.00

Filing Fee Calculation

\$1,156.00

9. Fee Payment Being Made at This Time

Enclosed

Filing Fee

\$1,156.00

Total Fees Enclosed

\$1,156.00

10. Method of Payment of Fees

Charge Account No. 21-0765 in the amount of \$1,156.00.  
A duplicate of this transmittal is attached.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and

during the entire pendency of this application to Account No. 21-0765.

37 C.F.R. 1.16(a), (f) or (g) (filing fees)

37 C.F.R. 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. 1.17 (application processing fees)

**12. Instructions as to Overpayment**

Credit Account No. 21-0765.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

**13. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

A. 35 U.S.C. §§ 120, 121 and 365(c)

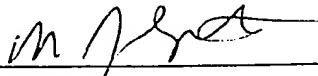
“This application is a continuation of copending application

Application number 08/754,354 filed on November 22, 1996.”

14. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

- a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

Respectfully submitted,



SIGNATURE OF PRACTITIONER

ATTORNEY CONTACT:

Michael J. Setter, Reg. No. 37,936  
Phone: (303) 449-9497  
Fax: (303) 449-0814

CORRESPONDENCE ADDRESS:

Attn: Harley R. Ball  
Sprint Law Department  
8140 Ward Parkway  
Mailstop: MOKCMP0506  
Kansas City, Missouri 64114

Customer No. 021396

\*\*\* TRANSMISSION REPORT \*\*\*

DEC-17-99 11:57 ID:3034494314

DUFT GRAZIANO & FOREST

JOB NUMBER 642  
 INFORMATION CODE OK  
 TELEPHONE NUMBER 17033053588#9201  
 NAME(ID NUMBER) 703 305 3590  
 START TIME DEC-17-99 11:55  
 PAGES TRANSMITTED 009 TRANSMISSION MODE EMMR  
 RESOLUTION STD REDIALING TIMES 00  
 SECURITY OFF MAILBOX OFF  
 MACHINE ENGAGED 02'15

THIS TRANSMISSION IS COMPLETED.

LAST SUCCESSFUL PAGE 009

DUFT, GRAZIANO & FOREST  
 1790 - 30TH STREET, SUITE 140  
 BOULDER, CO 80301  
 (303) 449-9497  
 FAX (303) 449-0814

FACSIMILE TRANSMITTAL SHEET  
 PLEASE DELIVER ASAP

DATE:  
 12/17/99 9:42 AM

TO: Patent and Trademark Office	FROM: Eugene G. Kim
CC:	
COMPANY:	
FAX NUMBER: 703-305-3588	
PHONE NUMBER: 703-308-4357	
RE: Application No. 09/421,718	TOTAL PAGES INCLUDING COVER: eight

☐ URGENT ☒ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY

NOTES/COMMENTS:

Attached is the Re-Submission of Fees and Response to "Notice to File Missing Parts of Application" with a copy of the Notice to File Missing Parts of Application and a copy of the New Application Transmittal. If you have any questions, please feel free to call our office. Thank you.

THIS FACSIMILE IS INTENDED ONLY FOR THE INDIVIDUAL TO WHOM IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL, OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF YOU HAVE RECEIVED THIS FACSIMILE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE (COLLECT), AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Docket No. 1128)

In the Application of:	)	
	)	
Christie, et al. 07/421,718	)	
Serial No.: 09/754,354	)	Examiner: K. Yao
	)	
Filing Date: November 22, 1996	)	Group Art Unit: 2731
	)	
For: System and Method for Inter-	)	
facing a Local Communication	)	
Device	)	

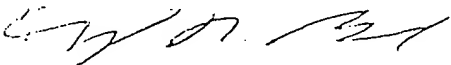
STATUS INQUIRY

Asst. Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants respectfully request an indication of the status of the above-referenced application. On February 27, 1997, Applicants filed a Petition Under 37 CFR 1.47(a) and 1.42 (copy enclosed). However, to date, Applicants have not received a Letter Granting Status Under 37 CFR 1.47(a) and 1.42. Applicants would gratefully appreciate receiving a written communication indicating when Applicants may expect to receive this letter.

Respectfully submitted,

  
Harley R. Ball  
Registration No. 31,733

Date: October 13, 1999